

## Temperature-dependent gas exchange and stomatal/non-stomatal limitation to $\text{CO}_2$ assimilation of *Quercus liaotungensis* under midday high irradiance

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### Abstract

The effects of varying leaf temperature ( $T_l$ ) on some ecophysiological characteristics of photosynthesis for *Quercus liaotungensis* Koiz. under ambient radiation stress around midday on clear summer days were investigated using an IRGA equipped with a temperature-controlled cuvette. Net photosynthetic rate ( $P_N$ ) decreased as  $T_l$  increased from 30 to 35 °C as a result of stomatal closure, whereas non-stomatal limitation led to decreased  $P_N$  in the  $T_l$  range of 35-45 °C. Decreased transpiration rate ( $E$ ) and stomatal conductance ( $g_s$ ) at leaf temperatures above 30 °C were interpreted as a combined 'feedward' effect as a result of enhanced leaf-air vapour pressure deficit (VPD) and stomatal closure. Changes in  $E$  from  $T_l$  30 to 20 °C depended on VPD when  $g_s$  was maintained constant. Water use efficiency (WUE) varied inversely with  $T_l$  by following a hyperbola. A decrease in intercellular  $\text{CO}_2$  concentration ( $C_i$ ) occurred as a result of stomatal closure and a relatively high carboxylation capacity, whereas inactivation of mesophyll carboxylation in combination with photorespiration might be associated with the observed increase in  $C_i$  in the  $T_l$  range of 40 to 45 °C.

*Additional key words:* carboxylation rate; diurnal course of gas exchange; internal  $\text{CO}_2$  concentration; photosynthesis; stomatal conductance; transpiration rate; water use efficiency.

### Introduction

There is a significant midday depression of photosynthesis for most plants when the leaves are subjected to radiation stress. However, the mechanism of photosynthetic depression has not been completely elucidated, although much is known about the progress (e.g., Powles and Björkman 1982, Terashima 1992, Björkman and Demmig-Adams 1994, Mott 1995, Demmig-Adams *et al.* 1996, Buckley *et al.* 1997). Most interpretations of midday depression of photosynthesis are based on radiation stress or related to irradiance.

However, there is an unavoidable concomitant heat stress when a leaf is exposed to high irradiance. Few studies have considered this concomitant heat stress, although temperature is one of the most important factors limiting photosynthesis. Photosynthetic yield and  $\text{CO}_2$  uptake decrease or even cease as temperatures go beyond an optimal range. High temperature can change the thylakoid membrane, altering both its biochemical properties and functional organism (Berry and Björkman 1980, Pastenes and Horton 1996). A harder heat treatment leads

to inactivation of the  $\text{O}_2$ -evolving complex with evidence of a K-peak at 200  $\mu\text{s}$  in the fluorescence rise (Srivastava *et al.* 1997). Nash *et al.* (1985) found that heat stress damaged the photosystem 2 (PS2) complex by releasing functional manganese ions. Low temperature brings about phase transition in the bio-membranes, leading to metabolic disorders (Lyons 1973), inhibiting water-splitting side of PS2 (Havaux and Lannoye 1984) and suppressing re-oxidation of  $\text{Q}_A^-$  (Havaux 1987). PS2 is the most sensitive component of the photosynthetic system (Berry and Björkman 1980, Mamedov *et al.* 1993). Havaux (1996) reported that a 15-min treatment at 38 °C for 23 °C-grown potato leaves resulted in an obvious and preferential inactivation of PS2. However, at least to some extent, both cold acclimation (Krivosheeva *et al.* 1996) and short exposure to high temperatures under low irradiance (Schreiber and Berry 1977, Havaux and Strasser 1990, 1992, Havaux 1996) can result in significant increase in resistance to photoinhibition.

Received 22 February 2001, accepted 7 June 2001.

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*Acknowledgements:* The authors thank Dr. Neal J. Enright at University of Melbourne for English revision, Prof. Rongfu Gao at Beijing Forestry University, and Prof. Fengjue Liu at the Chinese Academy of Forestry for their helpful discussion and suggestions. This study was supported by the National Natural Science Foundation of China (Project No. 39893360).

Temperature stress may have impacts on  $\text{CO}_2/\text{H}_2\text{O}$  exchange by altering the leaf-air vapour pressure deficit (VPD). The traditional 'feedback' hypothesis states that stomatal response to VPD is mediated by transpiration effect on leaf water status or the gradient in water potential between guard cells and other epidermal cells. However, the 'feedback' mechanism is not consistent with observations of enhanced leaf water potential (Saliendra *et al.* 1995) or stomatal closure at high VPD with concomitant lower transpiration (Farquhar 1978). The latter is called the 'feedward' response: both transpiration and stomatal conductance ( $g_s$ ) decrease as the leaf-air VPD increases (Farquhar 1978). Monteith (1995) presented a hypothesis for reinterpretation of most observations of stomatal responses to leaf-air VPD, in which stomatal closure as VPD increase is attributed to increased transpiration. Monteith (1995) reviewed stomatal response to VPD in the context of the 'feedback' mechanism. However, Bunce (1996) demonstrated that varying leaf water

potential by transpiration had no effects on the response of  $g_s$  conductance to VPD, contradicting the hypothesis of Monteith (1995).

In this study, we made a controlled-temperature experiment for a field-grown mature woody plant *Quercus liaotungensis*, a predominant species of deciduous broad-leaved forests in the warm-temperate region of Northern China. The plants are frequently subjected to interactive stresses of low temperature-strong irradiance in the early-spring and high temperature-strong irradiance in the midsummer. Is leaf temperature ( $T_l$ ) really involved in the midday depression of photosynthetic  $\text{CO}_2/\text{H}_2\text{O}$  gas exchange? To what extent is the temperature effect involved? How does the stomatal/non-stomatal limitation for the  $\text{CO}_2/\text{H}_2\text{O}$  gas exchange appear in response to varying  $T_l$ ? Our objective was to quantitatively elucidate the relationship between  $T_l$  and  $\text{CO}_2/\text{H}_2\text{O}$  gas exchange under high irradiance stress, finding the answers to the questions given above.

## Materials and methods

**Study site and plants:** Field studies were conducted in the permanent forest plots at the Beijing Forest Ecosystem Station ( $40^{\circ}00' - 40^{\circ}02' \text{N}$ ,  $115^{\circ}26' - 115^{\circ}30' \text{E}$ , altitude 1 100 m), Chinese Academy of Sciences, which is located in the Dongling Mountains, approximately 100 km west downtown of Beijing. In the Dongling Mountain area, annual rainfall is about 600 mm and precipitation in July-August accounts for 60-80 % of annual rainfall. Mean annual air temperature is about 5 °C, reaching *ca.* 18 °C in July-August. Between 800 and 1600 m, oak (*Quercus liaotungensis*) forest and mixed deciduous broad-leaved forest with *Q. liaotungensis* are the dominant forest types. Small areas of forests are dominated by birch (*Betula platyphylla* Suk., *B. dahurica* Pall., *B. costata* Trautv., *B. chinensis* Maxim.), poplar (*Populus davidiana* Dode.), and walnut (*Juglans mandshurica* Maxim.). Measurements of the top canopy leaves of mature *Q. liaotungensis* (about 17 m height) were obtained by means of a 24-m high steel tower that was installed for meteorological observations.

**Gas exchange:**  $P_N$ ,  $g_s$ ,  $E$ , and  $C_i$  were determined using a portable, open gas exchange system (CI-301PS, CID, Vancouver, USA) at midday under clear sky conditions

from July 29 to August 6, 1998. The attachments of the gas exchange system included a PAR sensor for measurement of photon flux density and an infrared temperature sensor for measurement of air/leaf temperature. Measurements were made on 6-9 mature leaves at the top of tree crown.  $T_l$  in the cuvette was set to 10, 15, 20, 25, 30, 35, 40, and 45 °C through heating and cooling by adjusting the temperature-controller attached to the cuvette. The  $T_l$  was set in descending order from 30 to 10 °C and in ascending order from 30 to 45 °C. When the temperature was close to 10 °C, condensation of water vapour occurred. A full range of temperature measurements was made with 2-3 similar leaves, and 7-10 min was allowed for the chosen leaf to acclimate to a new temperature. About five measurements were taken at each  $T_l$ . A biochemical model for  $C_3$  plants (Farquhar and Caemmerer 1982) was used to calculate maximum carboxylation rate ( $V_{\max}$ ), an indicator of ribulose-1,5-bisphosphate carboxylase/oxygenase (RuBPCO) activity.

**Statistical analysis:** The effect of  $T_l$  on the physiological parameters studied was analysed with one-way ANOVA using Excel 97 (Microsoft, USA).

## Results

Experimental variation of  $T_l$  from 10 to 45 °C had large impacts on  $P_N$ ,  $g_s$ , and  $E$  of *Q. liaotungensis* at high irradiance (about  $1800 \mu\text{mol m}^{-2} \text{s}^{-1}$ ) around noon.  $P_N$  decreased significantly when  $T_l$  was either below 15 °C or over 30 °C, whereas it increased slowly as  $T_l$  increased

from 15 to 30 °C (Fig. 1A). The optimal  $T_l$  for  $P_N$  was around 25-30 °C.

A strong peak of  $E$  appeared at  $T_l$  of 30 °C (Fig. 1B).  $E$  decreased sharply when  $T_l$  was either below or above this critical temperature (30 °C).

The  $P_N$  versus  $C_i$  curve was used to assess mesophyll photosynthesis, which excludes stomatal effects (Terashima 1992). We plotted  $P_N/C_i$  against  $T_l$  to determine the effect of  $T_l$  on mesophyll photosynthesis (Fig. 1C): values close to the optimum for  $P_N/C_i$  were maintained at  $T_l$  as high as 35 °C, which is about 5 °C higher than the temperature optimum for  $P_N$ . This is consistent with the values of maximum carboxylation rate  $V_{max}$  (Fig. 1D). However, there was pronounced difference between the two parameters from  $T_l$  35 to 40 °C: decrease in  $P_N/C_i$  was much greater than in  $V_{max}$ . There was a decrease in  $g_s$

in the  $T_l$  range of 10–20 °C, but  $g_s$  remained unchanged between  $T_l$  of 20 and 30 °C and then decreased at temperature above 30 °C (Fig. 1E). To analyse the changes in  $E$  and  $g_s$ , we plotted leaf-air vapour pressure deficit (VPD) against  $T_l$  (Fig. 1F). An exponential increase in VPD was found over the temperature range of 10–45 °C.  $C_i$  remained stable over  $T_l$  range of 10–30 °C but there was a marked drop in  $C_i$  in the  $T_l$  range of 30 to 35 °C, and subsequently a sharp increase at  $T_l$  between 40 and 45 °C (Fig. 1G).

The  $P_N/E$  ratio, an indicator of water use efficiency

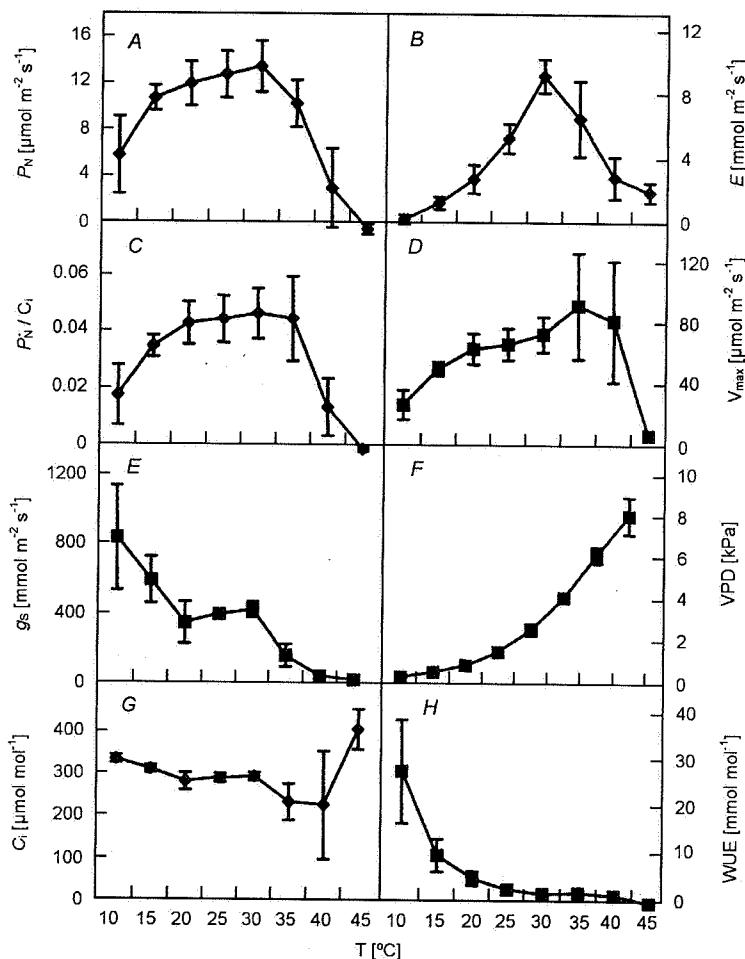


Fig. 1A-H. Effects of leaf temperature on net photosynthetic rate ( $P_N$ ), transpiration rate ( $E$ ), mesophyll carboxylation ( $P_N/C_i$ ), maximum carboxylation rate ( $V_{max}$ ), stomatal conductance ( $g_s$ ), leaf-air vapour pressure deficit (VPD), intercellular  $\text{CO}_2$  concentration ( $C_i$ ), and water-use efficiency (WUE). The acclimation time at each leaf temperature was 7–10 min. The PFD during the measurements was 1 700–1 800  $\mu\text{mol m}^{-2} \text{s}^{-1}$  at midday hours. Each point represents the mean and standard deviation of 3–7 repeated measurements.

(WUE), showed a clear negative exponential decrease with increasing  $T_l$  (Fig. 1H). Thus, WUE was high at  $T_l$  between 15 and 10 °C, because  $E$  was low relative to photosynthesis within this  $T_l$  range. At  $T_l$  between 25 and 35 °C, WUE was low but constant, thereafter it declined

towards zero at  $T_l$  range of 40–45 °C.

The effects of  $T_l$  on  $P_N$ ,  $g_s$ ,  $E$ , WUE, and  $P_N/C_i$  (mesophyll carboxylation) were analysed by one-way ANOVA (Table 1). The effects were highly significant ( $p < 0.001$ ) for all parameters except  $g_s$ .

Table 1. One-way ANOVA of net photosynthetic rate ( $P_N$ ), transpiration rate ( $E$ ), stomatal conductance ( $g_s$ ), water use efficiency (WUE),  $P_N/\text{PFD}$ , and  $P_N/C_i$  in relation to different leaf temperature treatments (7-10 min). The PFD during the measurements was 1 700-1 800  $\mu\text{mol m}^{-2} \text{s}^{-1}$  at midday hours. 3-7 repeated measurements at each leaf temperature. \*\*\*,  $p < 0.001$ ; NS, not significant.

	Source of variation	SS	df	MS	F	P	$F_{\text{crit}}$
$P_N$	Leaf temperature (T)	1003.058	7	143.294	27.04303	1.87E-14***	2.211827
	Error	249.0409	47	5.298742			
$E$	Leaf temperature (T)	435.7873	7	62.25533	39.18942	1.59E-17***	2.211827
	Error	249.0409	47	5.298742			
$g_s$	Leaf temperature (T)	19322965	7	2760424	1.212071	0.315052 NS	2.211827
	Error	1.07E+8	47	2277444			
WUE	Leaf temperature (T)	12310.92	7	1758.703	25.19756	6.77E-14***	2.211827
	Error	3280.439	47	69.79658			
$P_N/\text{PFD}$	Leaf temperature (T)	0.000414	7	5.91E-5	27.13033	1.76E-14***	2.211827
	Error	0.000102	47	2.18E-6			
$P_N/C_i$	Leaf temperature (T)	0.01173	7	0.001676	9.149115	4.41E-7***	2.211827
	Error	0.008609	47	0.000183			

## Discussion

Decreases in  $P_N$  at  $T_l$  above 30 °C resulted from either stomatal limitation or non-stomatal (biochemical) limitation. According to Farquhar and Sharkey (1982), the

limitation was also found in the  $T_l$  range of 15-10 °C as a result of inactivation of photosynthetic enzymes in response to low  $T_l$ . Based on the method of Farquhar and Sharkey (1982), if  $P_N$  and  $C_i$  decreases concomitantly, the criterion for assessing stomatal limitation or non-stomatal (biochemical) limitation rests on the changing direction of  $L$  (stomatal limitation): an increased  $L$  supports the conclusion that photosynthetic depression occurs in response to stomatal closure. Based on this criterion, the findings of concurrently decreased  $P_N$  (Fig. 1A),  $C_i$  (Fig. 1G), and sharply enhanced  $L$  (Fig. 2,  $L$  is calculated by the method of Berry and Downton 1982) indicate that the decrease in  $P_N$  in the  $T_l$  range of 30-35 °C is attributable to stomatal closure. Furthermore, we observed that  $V_{\text{max}}$  increased at  $T_l$  between 30 and 35 °C, whereas  $V_{\text{max}}$  decreased slightly at  $T_l$  between 35 to 40 °C. We therefore conclude that the decrease in  $P_N$  in the  $T_l$  range of 30-35 °C did not result from biochemical limitation.

On a simple Michaelis-Menten approach we derive:  $P_N = V_{\text{max}} C_i / (K_m + C_i)$ , therefore,  $K_m = C_i (V_{\text{max}} - P_N) / P_N$ .  $K_m$  is the Michaelis constant and it is closely related to the structure of the RuBPCO. A sharp increase in  $K_m$  at  $T_l$  above 35 °C indicated that the structure of RuBPCO was transformed and the affinity between the enzyme and substrate decreased (Fig. 2, the negative value of  $K_m$  at 45 °C was due to the negative value of  $P_N$ ). This was consistent with the concomitant decrease in  $P_N/C_i$  and  $V_{\text{max}}$  at  $T_l$  of 35-40 °C, suggesting that the decrease in  $P_N$  resulted from the biochemical limitation at this  $T_l$  range.  $V_{\text{max}}$  is proportional to the maximal enzyme-substrate concentration time, the rate constant  $K$  to the form of product as a function of temperature. The parameters  $V_{\text{max}}$  and  $K_m$  calculated by Farquhar and Caemmerer (1982) and Farquhar and Sharkey (1982) and Michaelis-

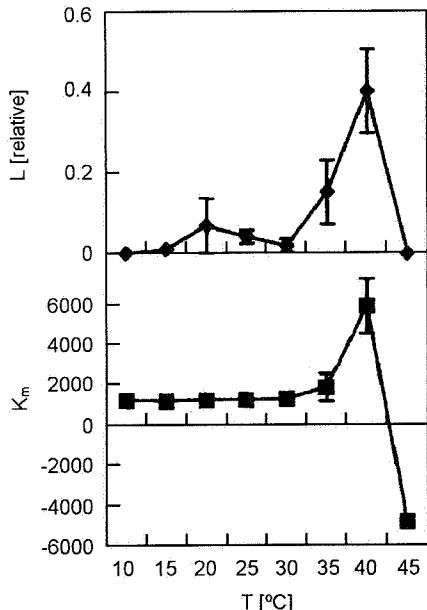


Fig. 2. Stomatal limitation ( $L$ ) and Michaelis constant ( $K_m$ ) as a function of leaf temperature. Each point represents the mean and standard deviation of 3-7 repeated measurements.

decreased  $P_N$  with simultaneously increased  $C_i$  in the  $T_l$  range 35-45 °C demonstrates that the decrease in  $P_N$  resulted from non-stomatal limitation. Such high  $T_l$  might inactivate photosynthetic enzymes, because both  $P_N/C_i$  (Fig. 1C) and  $V_{\text{max}}$  (Fig. 1D) decreased in this temperature range. Decrease in  $P_N$  resulting from biochemical

Menten's approaches refer only to the  $\text{CO}_2$  concentration without considering other substrates such as ATP and NADPH. Therefore  $K_m$  and  $V_{\max}$  are sensitive to the products of light reactions, ATP and NADPH. A mild heat treatment can transform active reaction centres into inactive ones, while a harder heat treatment can even inactivate the oxygen evolving complex, reducing electron transport and the synthesis of ATP and NADPH (Srivastava *et al.* 1997, Strasser *et al.* 2000), which may directly lead to drop in  $V_{\max}$ .

A 'feedback' response of stomata to VPD was found over the  $T_l$  range of 30–45 °C, because both  $g_s$  and  $E$  decreased sharply with increasing VPD. Based on the method of Farquhar and Sharkey (1982), the above analysis of  $C_i$  and  $L$  also demonstrated that stomatal closure occurred in the  $T_l$  range of 30–35 °C.

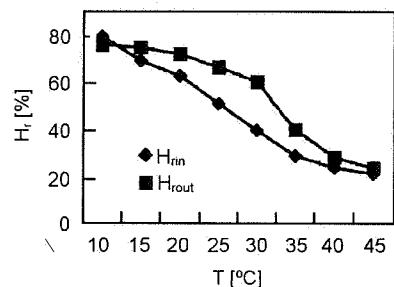


Fig. 3. Relative humidity difference ( $H_{\text{out}} - H_{\text{in}}$ ) between cuvette outlet and inlet versus leaf temperature. The condensation occurred when leaf temperature was close to 10 °C.

Mott and Parkhurst (1991) concluded that stomata respond to transpiration rather than to VPD. This conclusion is consistent with the finding that over the  $T_l$  range of 30–20 °C,  $g_s$  remained almost constant although VPD changed (Fig. 1E), whereas  $E$  changed in parallel with VPD, indicating that  $E$  is affected by VPD when  $g_s$  is kept constant. For  $T_l$  from 20 to 10 °C,  $g_s$  was high, and  $C_i$  also remained high over the full range of  $T_l$  from 10 to 30 °C (Fig. 1G). This indicates that the decrease in  $E$  with decreasing  $T_l$  from 30 to 10 °C did not result from stomatal closure. Relative humidity of both inlet ( $H_{\text{in}}$ ) and outlet ( $H_{\text{out}}$ ) increased, as  $T_l$  decreased (Fig. 3). The difference in relative humidity between the outlet and inlet largely reflected the air stream trend in leaf transpiration (linear regression shown in Fig. 4,  $r^2 = 0.8345$ ,  $p < 0.001$ ). The difference in relative humidity between the outlet and inlet air stream reached a maximum at  $T_l$  of 30 °C, decreased gradually from  $T_l$  30 to 10 °C, and decreased sharply from  $T_l$  30 to 45 °C. From 30 to 35 °C, outlet relative humidity decreased by nearly 50 %. At  $T_l$  of 15 to

10 °C, although the stomata were open, the air in cuvette was nearly saturated with water vapour, so that the difference between the inter-cellular air moisture and air moisture in the cuvette was close to zero, and thus leaf  $E$  was very low.

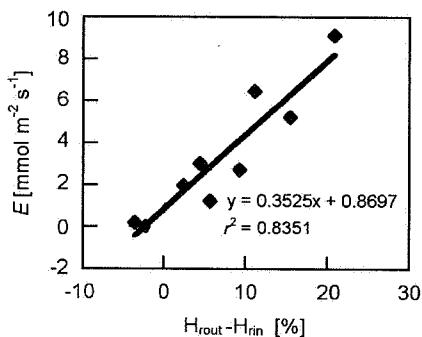


Fig. 4. Relationship between transpiration rate ( $E$ ) and relative humidity difference ( $H_{\text{out}} - H_{\text{in}}$ ) of cuvette outlet and inlet. The negative value means that condensation occurs when leaf temperature was close to 10 °C.

Stomatal closure can lead to a decrease of  $C_i$  from  $T_l$  of 30 to 35 °C when mesophyll cells maintain a relatively constant carboxylation capacity. We found a high carboxylation capacity (Fig. 1C,D) in the  $T_l$  range of 30–35 °C. The increase in  $C_i$  at  $T_l$  40 to 45 °C might indicate that the stomata had reopened, which contradicts the values of  $E$  and  $g_s$ . According to  $P_N/C_i$  (Fig. 1C) and  $V_{\max}$  (Fig. 1D), mesophyll carboxylation was almost completely inactivated when  $T_l$  were over 40 °C. At high temperatures the RuBPCO is favoured towards oxygenating reaction instead of carboxylating one because of the variations in temperature dependence of the solubility of  $\text{CO}_2/\text{O}_2$  and the kinetic properties of RuBPCO decline at high temperature more in  $\text{CO}_2$  solubility and  $K_0$  than in  $\text{O}_2$  solubility and  $K_c$ .  $K_0$  and  $K_c$  are the Michaelis-Menten constants for carboxylation and oxygenation, respectively (Berry and Raison 1981, Brooks and Farquhar 1985, Lambers *et al.* 1998), which makes photorespiration proportionally dominant at high temperature. The optimal temperature range for leaf respiration exceeds that for photosynthesis by about 5 °C when subjected to high temperature stress (Pan and Dong 1995), which means that leaf photorespiration surpasses photosynthesis in its tolerance for high temperature (Sun and Sweet 1996). For  $T_l$  above 40 °C, carboxylation decreased substantially but photorespiration continued at a relatively high rate, so that the inactivation of mesophyll carboxylation in combination with photorespiration might contribute to the observed increase of  $C_i$  in this  $T_l$  range.

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