



Nitrogen rate and plant density interaction enhances grain yield by regulating the grain distribution of secondary branches on the panicle axis and photosynthesis in japonica rice

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Abstract

Two japonica rice cultivars with different panicle trait index (PTI), HP917 (a high-PTI cultivar) and DP128 (a low-PTI cultivar) were used to investigate the effects of the nitrogen (N) rate and plant density on the grain distribution of secondary branches on the panicle axis, leaf photosynthetic characteristics, and grain yield by a split plot design. The main plots were assigned to four N rates (0, 140, 200, and 260 kg ha⁻¹), and the subplots were assigned to two plant densities: (D₂₀, 15 plants m⁻²; D₁₀, 30 plants m⁻²). Results showed that the grain yield was increased by increasing N rate and plant density, reaching a peak at N₂₀₀ with D₁₀. Compared with N₀ treatment, the PTIs of HP917 and DP128 increased with an increase in the N rate, respectively. The PTIs of HP917 and DP128 increased by 4% with increasing plant density from D₂₀ to D₁₀. The leaf capacity was significantly affected by N rate and plant density. The grain distribution characteristics of secondary branches on the panicle axis was closely related to yield. Correlation analysis showed the PTI was positively correlated with grain yield and net photosynthetic rate. These results suggested the improvement in PTI from 0.15 to 0.52 was beneficial to increase the grain yield, which might contribute to the increased grain number of secondary branches of the middle and bottom panicle.

Keywords: grain; panicle trait index; photosynthetic characteristics; secondary branches.

Introduction

Rice (*Oryza sativa* L.) is a staple food for more than 50% of the global population, and it plays a key role in

maintaining food security (Fitzgerald *et al.* 2009, Zhao *et al.* 2009, Muthayya *et al.* 2014, Veronica *et al.* 2017). Predicted results show that 116 million additional tons of rice will be needed by 2035 (Seck *et al.* 2012, Yamano

Highlights

- Nitrogen rate and plant density interaction improved the grain number of secondary branches of japonica rice
- The improvement in panicle trait index (PTI) enhanced the grain yield
- The improvement in PTI improved the net photosynthetic rate

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Abbreviations: BFSB – filled grain number of secondary branches of the bottom panicle; BSB – grain number of secondary branches of the bottom panicle; Chl – chlorophyll; C_i – intercellular CO₂ concentration; E – transpiration rate; F – filled grain rate; FGNSB – filled grain number of secondary branches; GNPB – grain number of primary branches; GNSB – grain number of secondary branches; GPP – grain number per panicle; g_s – stomatal conductance; L_s – stomatal limitation value; MFSB – filled grain number of secondary branches of the middle panicle; MSB – grain number of secondary branches of the middle panicle; P – total panicle number per hectare; P_N – net photosynthetic rate; PTI – panicle trait index; SSRSB – seed-setting rate of secondary rachis branches; TFSB – filled grain number of secondary branches of the top panicle; TSB – grain number of secondary branches of the top panicle; W – 1,000-grain mass; Y – grain yield.

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et al. 2016). However, with increasing population pressure and expanding urbanization, stable crop production is being threatened (Liu *et al.* 2016). Thus, there is an urgent requirement to increase rice production to meet the continuous demand of food supply (Wang *et al.* 2015).

Rice yield is determined by its components, such as panicle number per hectare (P), grain number per panicle (GPP), and thousand-grain mass (W) (Hua *et al.* 2002). Most studies have proven that maintaining or reducing P and increasing GPP are the main ways to increase rice yield (Hua *et al.* 2002, Kato *et al.* 2007). As a result, large panicles bearing numerous grain numbers of rice varieties such as 'super' rice or 'super' hybrid rice in China have been successfully developed (Peng *et al.* 2008, Yang and Zhang 2010). However, an excess of the bottom grain number of secondary branches (GNSB) and poor filling are the major factors limiting the high grain yield potential of these varieties (Sekhar *et al.* 2015). Optimization of the GNSB on the panicle axis is required to improve the yield potential. Sasahara *et al.* (1982) showed that the grain distribution of secondary branches on the panicle axis was mainly controlled by heredity and was closely related to yield. The ratio of the number of primary branches to the node position of the axis where the primary branch with the largest grain number of secondary branches was taken as an indicator of the 'Sasahara index' and has shown that indica rice is a superior dominant type, japonica rice is a middle dominant type, and javanica rice is an inferior dominant type (Sasahara *et al.* 1982). To accurately reflect the grain distribution characteristics of secondary branches on the panicle axis, Xu *et al.* (2005) proposed the PTI is the ratio between the number of axis internodes and the number of grains in secondary rachis branches higher than those in primary rachis branches, and the number of primary rachis branches reflects the distribution characteristics of the GNSB on the panicle axis and they reported that a high PTI is advantageous for increasing yield. However, there are few published studies in this field. Thus, it is necessary to further study whether this trend could be found in the improved cultivars.

N application and plant density are the two most important crop management practices that significantly affect grain yield by regulating yield components, photosynthesis, and growth (Berry *et al.* 2010, Sun *et al.* 2012, Mu *et al.* 2016, Di Salvo *et al.* 2018). Previous studies have revealed that N deficiency leads to a significant decline in GPP (Jin and He 1999) and a positive correlation between grain number per unit area and spikelet N content (Fischer 2011). Plant density is an important determinant of the number of grains per plant. Most studies have shown a strong positive relationship between GPP and tiller dry mass at the heading stage (Yao *et al.* 2000, Shiratsuchi *et al.* 2007). In addition, a negative relationship between GPP and panicle number has been widely reported (Wells and Faw 1978, Jones and Snyder 1987). Therefore, the difference in the numbers of panicles and tillers caused by different plant densities might also affect the GPP (Kuroda *et al.* 1999). However, few studies have paid attention to the effects of the N rate and plant density interaction on the PTI.

Photosynthesis is an integral part of crop yield. Previous studies have shown a reduction in the photosynthetic rate (P_N) under nitrogen-deficient conditions (Jin and He 1999, Shangguan *et al.* 2000). N fertilization increases the chlorophyll (Chl) content and improves P_N and the leaf area (Li *et al.* 2012). Photosynthesis and leaf area per tiller are the main factors affecting the source ability for panicle development (Sheehy *et al.* 2001). In addition, a lack of N reduces the radiation-use efficiency, Chl content, and protein content of the plant (Steer and Harrigan 1986, Dordas and Sioulas 2008). The photosynthetic capacity of leaves was shown to be positively correlated with the N application rate (Sage and Pearcy 1987).

Numerous previous studies have focused on the effect of the N rate and plant density on the yield and components (Fang *et al.* 2018, Hou *et al.* 2019). However, the effect of the N rate and plant density interaction on PTI is unclear. Thus, this study aimed to (1) investigate the effects of the N rate and plant density on the PTI, leaf photosynthetic characteristics, and grain yield of rice plants with different PTIs and (2) explore whether the N rate and plant density interaction enhance grain yield by regulating the PTI of japonica rice. These results might provide a scientific basis for the production of high grain yield by improving the PTI in low-PTI rice.

Materials and methods

Plant material and experimental design: A field experiment was conducted at the Rice Research Institute of Shenyang Agricultural University, Shenyang, China (41°48'N, 123°34'E). The soil properties in the 0–20-cm deep soil layer of the experimental field were as follows: pH 6.2; 26.3 g(organic matter content) kg⁻¹; 0.87 g(total N) kg⁻¹; 1.1 g(total P) kg⁻¹; 8.93 g(total K) kg⁻¹; 100.3 mg(available N) kg⁻¹; 61.3 mg(available P) kg⁻¹; and 113.59 mg(available K) kg⁻¹. We screened japonica rice cultivars in 2019, and a comprehensive evaluation of agronomic characteristics showed that the HP917 cultivar is a high-PTI cultivar (PTI = 0.46) and that DP128 is a low-PTI cultivar (PTI = 0.15) (Fig. 1). These two cultivars were used as the experimental material in this study.

The experiment was conducted with a split-split plot design with the two japonica rice cultivars with different PTIs as the main plots; cultivars with four N concentrations of 0 kg(N) ha⁻¹ (N_0), 140 kg(N) ha⁻¹ (N_{140}), 200 kg(N) ha⁻¹ (N_{200}), and 260 kg(N) ha⁻¹ (N_{260}) as the split plots; and two cultivars with plant densities of 30 plants m⁻², representing a high density (D_{10}), and 15 plants m⁻², representing a low density (D_{20}), as sub-split plots. All treatments were conducted in triplicate. The size of each main plot was 48.72 m² (5.8 m long, 8.4 m wide, 28 rows, 30-cm row spacing), and the size of each split plot was 24.375 m² (5.8 m long, 4.2 m wide, 14 rows, 30-cm row spacing). Each plot was separated by 0.4 m wide ridges covered with plastic film to restrain leakage and nutrient loss. The rice seeds were sown on 16 April 2020 and were transplanted at the 3.5-leaf stage, rice harvest on the 22–26 September. Each hill had two seedlings in all plots. Each plot was regularly hand-weeded, and pesticides were

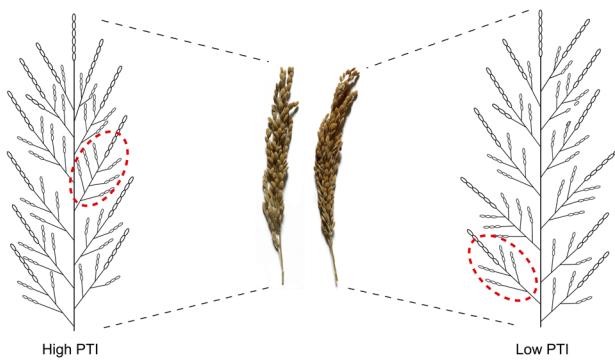


Fig. 1. Largest secondary branch grain number of primary branches on the node of the panicle axis in different panicle trait index (PTI) rice lines.

used to prevent pest and insect damage. Nitrogen (N), potassium (K₂O), and phosphorus (P₂O₅) were applied as urea (46% N), potassium chloride (60% K₂O), and calcium superphosphate (12% P₂O₅), respectively. K₂O and P₂O₅ were both applied at a rate of 90 kg ha⁻¹. Fifty percent of N, 60% of K₂O, and all of P₂O₅ were applied basally before transplantation, followed by 25% of N and 20% of K₂O applied at the tillering stage, and the remaining 25% of N and 20% of K₂O were applied at the booting stage. Field management was conducted during the entire growing season by following local practices.

Panicle traits: At maturity, ten plants from the center of each plot were sampled to investigate the number of primary branches of all panicles. Among them, ten panicles were taken according to the mode. Each panicle was divided into three parts, top, middle, and bottom, according to primary branches. The grain number of primary branches (GNPB), GNSB, and W values of the primary and secondary branches were measured. The filled grain rate (F) of primary and secondary branches was calculated using the following equation: $F = (\text{filled GPP}/\text{number of grains per panicle}) \times 100\%$.

Panicle trait index: At maturity, ten plants from the center of each plot were sampled to investigate the number of primary branches of all panicles. Among them, ten panicles were taken according to the mode, and the primary branches were numbered from bottom to top on the panicle axis. The secondary branch GNPB was measured, and the PTI was calculated using the following equation: PTI = the largest secondary branch GNPB on the node of the panicle axis/number of primary branches.

The photosynthetic pigment content was measured by following the method proposed by Lichtenthaler (1987). Samples (0.1 g) of fresh leaves, excluding main veins, were immersed in ethanol (95%, v/v) and kept at 4°C in the dark for 2 d until the leaf became white. The absorbance of the extract was read at 665, 649, and 470 nm using a spectrophotometer (1510, Thermo Fisher, USA). The contents of Chl and carotenoids were calculated using the

following equations: Chl a [mg g⁻¹(FM)] = (13.95 A₆₆₅ - 6.88 A₆₄₉) × V/(1,000 × M), Chl b [mg g⁻¹(FM)] = (24.96 A₆₄₉ - 7.32 A₆₆₅) × V/(1,000 × M), Chl (a+b) [mg g⁻¹(FM)] = Chl a + Chl b, where A₆₆₅, A₆₄₉, and A₄₇₀ are absorbances at 665, 649, and 470 nm, respectively; V is the total volume of the extract (10 ml); M is the mass of the sample (0.1 g).

Gas-exchange measurement: The flag leaf gas exchange was measured using a portable infrared gas analyzer (CIRAS 3, PP Systems) at the heading stage. P_N, the transpiration rate (E), the stomatal conductance (g_s), and the intercellular CO₂ concentration (C_i) were recorded at PPFD of 1,200 μmol m⁻² s⁻¹ from an internal light source in the leaf chamber in which the relative humidity was 60%, the CO₂ concentration was 400 μmol mol⁻¹, and the leaf temperature was 25°C. The stomatal limitation value (L_s) was calculated as 1 - C_i/C_a (Fang *et al.* 2018). All parameters were measured on six individual plants per treatment between 10:00 and 11:00 h (Zhang *et al.* 2019).

Yield and yield components: For each plot, three 2-m² areas were harvested to measure grain yield. The panicle number per ha was determined by counting the panicle number per plant and multiplying it by the plant density.

Statistical analysis: Data from each of the treatments were subjected to analysis of variance (ANOVA) using SPSS 22.0 software (IBM Inc.). The data were first checked for normality (Kolmogorov-Smirnov test) and homogeneity of variance (Bartlett-Box test). The data had a normal distribution and homogeneous variance. The means were tested by the least significant difference (LSD) test at the 0.05 level of significance. Graphs were generated using Origin 2018 software. The data in graphs are expressed as the mean ± standard deviation.

Results

Grain yield and yield components: N rate, plant density, and their interaction had significant effects on the grain yield of rice plants with different PTIs (Table 1). With increasing N rate, the grain yield of rice significantly increased at first and then decreased in HP917 and DP128 (mean of two plant densities). Compared with N₀, the grain yields of HP917 and DP128 steadily increased by 2.47 and 2.34 t ha⁻¹, with the rate of increase of 37.8 and 36.5%, respectively. Compared to the low-plant density (D₂₀) rice, the grain yields of HP917 and DP128 increased by 1.4 and 2.04 t ha⁻¹, with the rate of increase of 4.1 and 6.3% achieved under high-planting density (D₁₀) conditions, respectively. N₂₀₀ combined with D₁₀ was optimal for yield formation for all the treatments in both HP917 and DP128.

According to ANOVA results, N rate and plant density, not W, had significant effects on GPP, P, and F, and their interaction had significant effects on P and GPP in HP917 and DP128 (Table 1). With increasing N rate, GPP and P significantly increased, but F significantly decreased in HP917 and DP128. Increasing the plant density from D₂₀ to D₁₀ significantly decreased the GPP values of HP917 and

Table 1. Effect of the N rate and plant density on the grain yield and yield components in rice with different PTIs. N_0 – 0 kg(N) ha^{-1} ; N_{140} – 140 kg(N) ha^{-1} ; N_{200} – 200 kg(N) ha^{-1} ; and N_{260} – 260 kg(N) ha^{-1} . D represents the plant density, where D_{20} represents 15 plants m^{-2} and D_{10} represents 30 plants m^{-2} . P represents the panicle number per hectare, GPP represents the grain number per panicle, F represents the filled grain rate, and W represents the 1,000-grain mass. Data are represented as the mean \pm SD of triplicates. * and ** indicate that the N rate and planting density and their interactions significantly influenced the yield components at the 0.05 and 0.01 levels, respectively, and ns indicates 'nonsignificant'. Values of eight treatments followed by *different lowercase letters* indicate a significant difference at the 5% level.

Variety	Treatments	Y [t ha^{-1}]	P [$\times 10$ ha^{-1}]	GPP	F [%]	W [g]
HP917	N_0	6.33 ± 0.11^f	125.5 ± 12.4^h	185.7 ± 0.6^e	91.0 ± 1.4^b	29.13 ± 0.32^a
		6.75 ± 0.25^e	160.1 ± 16.2^g	163.3 ± 2.1^f	94.0 ± 0.6^a	28.42 ± 0.41^{ab}
	N_{140}	7.89 ± 0.11^d	170.9 ± 22.0^f	222.7 ± 3.2^c	85.0 ± 0.7^d	28.01 ± 0.58^{ab}
		8.13 ± 0.03^c	222.1 ± 34.6^c	200.3 ± 5.5^d	88.0 ± 0.6^c	27.98 ± 0.48^{ab}
	N_{200}	10.20 ± 0.14^a	211.6 ± 16.3^c	241.3 ± 2.3^a	84.0 ± 0.4^d	27.80 ± 0.43^{ab}
		10.30 ± 0.03^a	246.4 ± 34.1^b	222.0 ± 2.2^c	87.0 ± 1.4^c	27.74 ± 0.41^{ab}
	N_{260}	9.59 ± 0.14^b	219.6 ± 15.0^d	231.0 ± 1.2^b	76.0 ± 2.1^f	27.39 ± 0.25^b
		10.20 ± 0.02^a	255.2 ± 32.2^a	218.0 ± 2.1^c	82.0 ± 1.5^c	27.29 ± 0.26^b
DP128	N_0	6.15 ± 0.34^h	129.3 ± 15.1^h	221.0 ± 3.0^f	76.7 ± 1.0^c	25.66 ± 0.45^a
		6.66 ± 0.20^g	178.1 ± 16.2^e	208.0 ± 4.9^g	83.0 ± 2.3^a	25.60 ± 0.56^a
	N_{140}	7.17 ± 0.06^f	156.4 ± 12.1^g	235.1 ± 4.0^e	74.7 ± 1.1^d	25.45 ± 0.89^a
		7.45 ± 0.17^e	200.4 ± 21.2^f	212.0 ± 2.0^g	80.0 ± 1.0^b	25.20 ± 0.26^a
	N_{200}	9.65 ± 0.13^c	169.1 ± 15.3^f	276.0 ± 1.7^a	65.3 ± 3.2^c	25.04 ± 0.70^a
		10.20 ± 0.06^a	216.1 ± 20.0^b	252.0 ± 1.7^c	75.0 ± 1.8^d	25.01 ± 0.56^a
	N_{260}	9.19 ± 0.17^d	180.6 ± 13.3^d	260.0 ± 1.2^b	63.0 ± 2.2^f	25.04 ± 0.37^a
		9.90 ± 0.28^b	235.1 ± 22.4^a	245.3 ± 1.2^d	65.0 ± 0.6^c	24.02 ± 0.44^b
ANOVA	N level (N)	**	**	**	**	**
	Density (D)	**	**	**	**	ns
	Variety (V)	*	**	**	**	*
	V \times N	*	**	**	**	ns
	V \times D	ns	**	ns	**	ns
	N \times D	*	**	**	ns	ns
	V \times N \times D	ns	**	ns	ns	ns

DP128 by 8.7 and 7.5% (mean of four N rates), respectively. The P of HP917 and DP128 significantly increased by 17.6 and 23.4% (mean of four N rates), respectively. The F of HP917 and DP128 significantly increased by 4.2 and 7.7% (mean of four N rates), respectively. However, N rate and plant density had similar effects on W for both HP917 and DP128 and decreased with increasing N rate and plant density.

Panicle trait: N rate, plant density, and their interaction had significant effects on the GNPB, the filled grain number of secondary branches (FGNSB) of the middle and bottom panicle, the GNSB of the middle and bottom panicles, and the seed-setting rate of secondary branches (SSRSB) of the middle and bottom panicle, but no significant effect on the FGNSB, GNSB, and SSRSB of the top panicle was observed in HP917 and DP128 (Table 2). With an increase in the N rate, the FGNSB and GNSB of the middle and bottom panicles significantly increased at first and then decreased at both plant densities in HP917 and DP128. The GNPB increased with the increasing N rate, but it decreased with the increasing

plant density. However, the effects of the N rate and the plant density on the SSRSB of the middle and bottom panicles were similar in HP917 and DP128, and both values decreased with increasing N application rate and the plant density.

Panicle trait index: The grain distribution of secondary branches on the panicle axis was closely related to yield. The N rate and plant density interaction increased the number of primary branches and the GNSB of the middle and bottom panicles, which, in turn, influenced the PTI (Figs. 2 and 3). Compared with N_0 treatment, N_{140} treatment increased the number of primary branches of HP917 and DP128 in the D_{20} group and affected the PTI of other groups by moving up the largest secondary branch GNPB on the node of the panicle axis of HP917 and DP128.

N rate, plant density, and their interaction significantly affected the PTI of HP917 and DP128, as shown in Fig. 3. As the N rate increased from 0 kg ha^{-1} to 200 kg ha^{-1} , the PTIs of HP917 and DP128 increased from 0.46 and 0.15 to 0.52 and 0.30 (mean of two plant densities), respectively. However, as the N rate increased from 200 kg ha^{-1} to

Table 2. Effects of the N application rate and plant density on panicle traits in rice with different PTIs. FGNSB represents the filled grain number of secondary branches, GNSB represents the grain number of secondary branches, SSRSB represents the seed-setting rate of secondary branches, and GNPB represents the grain number of primary branches. N_0 = 0 kg(N) ha^{-1} ; N_{140} = 140 kg(N) ha^{-1} ; N_{200} = 200 kg(N) ha^{-1} ; and N_{260} = 260 kg(N) ha^{-1} . D represents the plant density, where D_{20} represents 1.5 plants m^{-2} and D_{10} represents 30 plants m^{-2} . Data are represented as the mean \pm SD of triplicates. * and ** indicate significant differences at the 5% and 1% levels, respectively, and ns indicates 'nonsignificant'. Values of the eight treatments followed by *different lowercase letters* indicate a significant difference at the 5% level.

Variety	Treatments	Top			Middle			Bottom			GNPB		
		FGNSB	GNSB	SSRSB [%]	FGNSB	GNSB	SSRSB [%]	FGNSB	GNSB	SSRSB [%]	FGNSB	GNSB	SSRSB [%]
HP917	N_0	D_{20}	24.0 \pm 4.4 ^a	25.3 \pm 2.5 ^a	94.8 \pm 8.0 ^a	47.0 \pm 5.2 ^{cd}	53.3 \pm 6.1 ^c	87.9 \pm 1.0 ^a	25.7 \pm 2.3 ^d	28.3 \pm 2.9 ^c	90.8 \pm 1.2 ^a	71.7 \pm 1.7 ^c	
	D_{10}	22.0 \pm 1.7 ^{ab}	22.3 \pm 2.1 ^b	98.7 \pm 2.0 ^a	32.0 \pm 0.8 ^e	35.9 \pm 2.1 ^d	89.1 \pm 2.0 ^a	12.0 \pm 1.2 ^f	13.0 \pm 1.2 ^f	92.3 \pm 1.3 ^a	63.0 \pm 1.8 ^f		
	D_{20}	24.3 \pm 4.6 ^a	24.7 \pm 4.9 ^{ab}	98.4 \pm 2.0 ^a	53.7 \pm 0.6 ^b	63.3 \pm 4.6 ^b	84.8 \pm 6.0 ^b	47.0 \pm 1.7 ^b	63.3 \pm 5.1 ^b	74.2 \pm 1.1 ^b	75.0 \pm 2.8 ^b		
	D_{10}	19.3 \pm 3.7 ^b	20.4 \pm 3.1 ^b	94.6 \pm 4.1 ^a	47.7 \pm 2.3 ^{cd}	56.1 \pm 4.6 ^c	85.0 \pm 2.0 ^{ab}	42.7 \pm 4.6 ^c	48.7 \pm 4.6 ^c	87.7 \pm 1.0 ^a	72.0 \pm 2.0 ^c		
	N_{200}	D_{20}	23.3 \pm 4.5 ^{ab}	25.2 \pm 6.4 ^a	92.5 \pm 4.5 ^a	61.0 \pm 1.7 ^a	78.7 \pm 2.9 ^a	77.5 \pm 1.0 ^c	44.4 \pm 2.9 ^{bc}	61.7 \pm 2.9 ^b	72.0 \pm 6.3 ^{bc}	78.0 \pm 3.2 ^a	
	D_{10}	24.1 \pm 3.0 ^a	24.3 \pm 5.0 ^{ab}	92.2 \pm 1.1 ^a	49.4 \pm 0.5 ^c	63.3 \pm 5.2 ^b	78.0 \pm 9.0 ^c	27.7 \pm 1.2 ^d	37.7 \pm 8.1 ^d	73.5 \pm 2.1 ^{bc}	68.7 \pm 4.5 ^c		
	N_{260}	D_{20}	24.0 \pm 4.3 ^a	25.3 \pm 5.0 ^a	94.9 \pm 5.0 ^a	35.8 \pm 1.2 ^c	64.0 \pm 1.7 ^b	55.9 \pm 3.0 ^d	52.0 \pm 0.5 ^a	75.0 \pm 4.5 ^a	69.3 \pm 2.0 ^c	76.0 \pm 1.7 ^b	
	D_{10}	23.2 \pm 1.2 ^{ab}	25.3 \pm 3.0 ^a	91.5 \pm 1.5 ^a	44.1 \pm 2.4 ^d	58.0 \pm 0.8 ^c	76.0 \pm 2.0 ^c	25.2 \pm 0.6 ^d	36.0 \pm 3.5 ^d	70.0 \pm 5.2 ^c	70.3 \pm 2.3 ^d		
DP128	N_0	D_{20}	23.0 \pm 3.0 ^b	25.0 \pm 4.5 ^b	92.0 \pm 4.8 ^a	49.3 \pm 5.8 ^c	67.3 \pm 3.5 ^d	73.3 \pm 4.0 ^a	52.3 \pm 3.1 ^b	78.3 \pm 4.1 ^d	66.8 \pm 4.0 ^a	72.7 \pm 2.3 ^c	
	D_{10}	19.0 \pm 2.0 ^c	21.7 \pm 4.7 ^b	92.2 \pm 5.9 ^a	37.0 \pm 1.7 ^d	50.7 \pm 1.2 ^e	73.0 \pm 5.0 ^a	44.7 \pm 1.2 ^d	66.7 \pm 2.9 ^c	67.0 \pm 3.3 ^a	66.0 \pm 1.2 ^c		
	D_{20}	24.7 \pm 2.5 ^b	28.9 \pm 2.1 ^b	85.4 \pm 5.0 ^a	50.0 \pm 5.2 ^{bc}	70.7 \pm 0.6 ^{cd}	70.7 \pm 8.0 ^a	48.0 \pm 2.1 ^c	77.0 \pm 3.4 ^d	62.3 \pm 3.4 ^b	73.0 \pm 2.4 ^c		
	N_{140}	D_{10}	21.0 \pm 3.6 ^{bc}	23.7 \pm 3.0 ^b	88.6 \pm 5.7 ^a	49.0 \pm 1.2 ^c	69.0 \pm 0.6 ^d	71.0 \pm 1.0 ^a	47.0 \pm 1.2 ^c	71.0 \pm 1.2 ^c	66.2 \pm 1.6 ^a	69.3 \pm 2.3 ^d	
	N_{200}	D_{20}	31.7 \pm 1.5 ^a	37.3 \pm 5.5 ^a	85.0 \pm 4.0 ^a	55.0 \pm 3.4 ^a	87.7 \pm 0.6 ^a	62.7 \pm 2.0 ^b	56.6 \pm 5.6 ^a	94.3 \pm 6.3 ^a	60.0 \pm 3.3 ^b	77.0 \pm 4.0 ^a	
	D_{10}	21.3 \pm 4.0 ^{bc}	24.0 \pm 4.5 ^b	88.7 \pm 6.0 ^a	51.7 \pm 1.2 ^{abc}	82.0 \pm 0.5 ^b	63.0 \pm 4.0 ^b	58.3 \pm 1.5 ^a	92.0 \pm 4.2 ^{ab}	63.3 \pm 5.3 ^b	74.7 \pm 0.6 ^b		
	N_{260}	D_{20}	24.0 \pm 3.5 ^b	27.3 \pm 5.1 ^b	87.9 \pm 5.0 ^a	52.0 \pm 0.8 ^{abc}	86.0 \pm 0.9 ^{ab}	60.5 \pm 2.0 ^b	49.3 \pm 2.1 ^{bc}	87.7 \pm 4.3 ^{bc}	56.2 \pm 3.0 ^c	75.0 \pm 2.2 ^b	
	D_{10}	19.4 \pm 4.7 ^c	22.0 \pm 3.6 ^b	88.2 \pm 8.0 ^a	45.5 \pm 2.3 ^c	72.7 \pm 6.4 ^c	62.6 \pm 8.0 ^b	49.3 \pm 3.2 ^{bc}	84.0 \pm 1.2 ^c	58.7 \pm 6.2 ^c	69.0 \pm 2.3 ^d		
<i>ANOVA</i>		N level (N)	ns	ns	ns	ns	ns	ns	ns	ns	ns	ns	
Density (D)		ns	*	ns	**	**	**	**	**	**	**	**	
Variety (V)		ns	ns	ns	**	**	ns	ns	ns	**	ns	ns	
V \times N		ns	ns	ns	**	**	**	**	**	**	**	**	
V \times D		ns	ns	ns	**	**	**	**	**	**	**	**	
N \times D		ns	ns	ns	**	**	**	**	**	**	**	**	
V \times N \times D		ns	ns	ns	**	**	**	**	**	**	**	**	

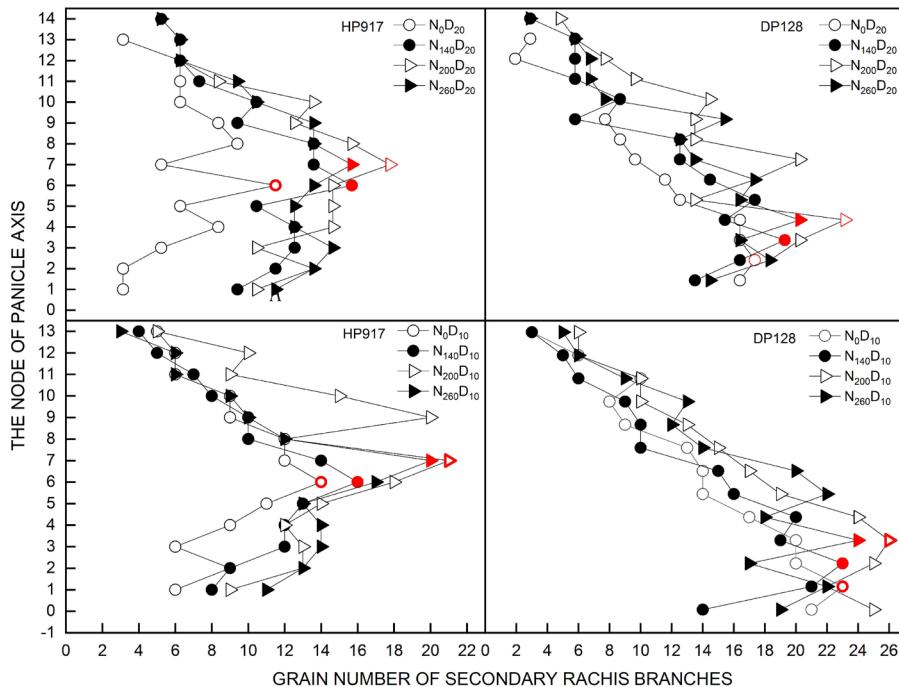


Fig. 2. Effect of the N rate and plant density on the grain number of secondary branches on the panicle axis in rice plants with different PTIs. Red represents the largest secondary branch grain number of primary branches on the panicle axis.

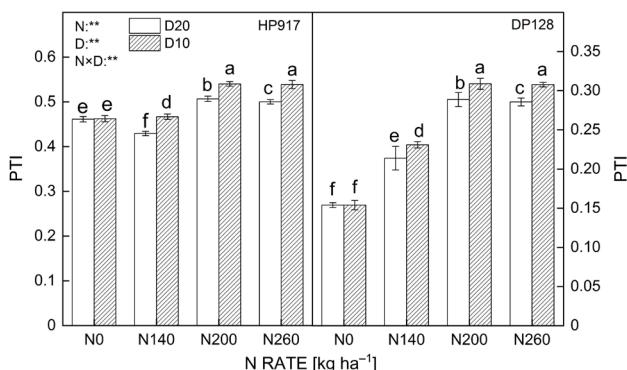


Fig. 3. Effect of the N rate and plant density on PTI in rice cultivars with different PTIs. N_0 – 0 kg(N) ha^{-1} ; N_{140} – 140 kg(N) ha^{-1} ; N_{200} – 200 kg(N) ha^{-1} ; and N_{260} – 260 kg(N) ha^{-1} . PTI represents the panicle trait index, and D represents the plant density, where D_{20} represents 15 plants m^{-2} and D_{10} represents 30 plants m^{-2} . ** indicates that the N application rate and plant density significantly influenced the PTI at the 0.01 level, and ns indicates ‘nonsignificant’. Vertical bars represent the standard deviation. Different lowercase letters above the bars represent significant differences at the 0.05 level.

260 kg ha^{-1} , the PTIs of HP917 and DP128 decreased from 0.52 and 0.30 to 0.51 and 0.29 (mean of two plant densities), respectively. Increasing the plant density from D_{20} to D_{10} resulted in an increase in the PTIs of HP917 and DP128 from 0.48 and 0.24 to 0.50 and 0.25 (mean of four N rates). The maximum PTI values appeared for HP917 and DP128 treated with the N fertilizer application rate of 200 kg ha^{-1} and plant density of D_{10} . Correlation analysis demonstrated that the PTI was positively correlated with F but negatively correlated with BSB and BSB in HP917

plants (Fig. 4A). The PTI was positively correlated with GPP, MSB, and BSB but negatively correlated with F in DP128 plants (Fig. 4B).

Photosynthetic pigment: N rate, N rate and plant density interaction significantly affected the Chl content of HP917 and DP128 plants, but plant density had no significant effect on the Chl content, as shown in Table 3. The Chl contents of HP917 and DP128 plants increased from 1.22 and 1.57 to 2.17 and 2.09 mg g^{-1} (mean of two plant densities), respectively, as the N rate increased from 0 to 200 kg ha^{-1} , but decreased from 2.17 and 2.09 to 2.03 and 1.86 mg g^{-1} (mean of two plant densities), respectively, as the N rate increased from 200 to 260 kg ha^{-1} . Increasing the plant density from D_{20} to D_{10} resulted in an increase in the Chl contents of HP917 and DP128 from 1.75 and 1.78 to 1.85 and 1.86 mg g^{-1} (mean of four N rates), respectively. The maximum Chl contents of HP917 and DP128 plants appeared in the 200 kg ha^{-1} N fertilizer and D_{10} plant density treatments.

Gas-exchange parameters: The P_N , E , g_s , C_i , and L_s values were significantly affected by N rate and plant density, but their interaction had a significant effect on only for P_N and g_s in both the HP917 and DP128 cultivars (Table 3).

The P_N values of HP917 and DP128 increased from 18.98 and 16.34 to 22.17 and 21.40 $\mu\text{mol m}^{-2} \text{s}^{-1}$ (mean of two plant densities), respectively, as the N rate increased from 0 to 200 kg ha^{-1} , but decreased from 22.17 and 21.40 to 21.28 and 18.98 $\mu\text{mol m}^{-2} \text{s}^{-1}$ (mean of two plant densities), respectively, as the N rate increased from 200 kg ha^{-1} to 260 kg ha^{-1} . Increasing the plant density from D_{20} to D_{10} resulted in an increase in the P_N values of HP917 and DP128 from 20.26 and 18.16 to 21.16 and

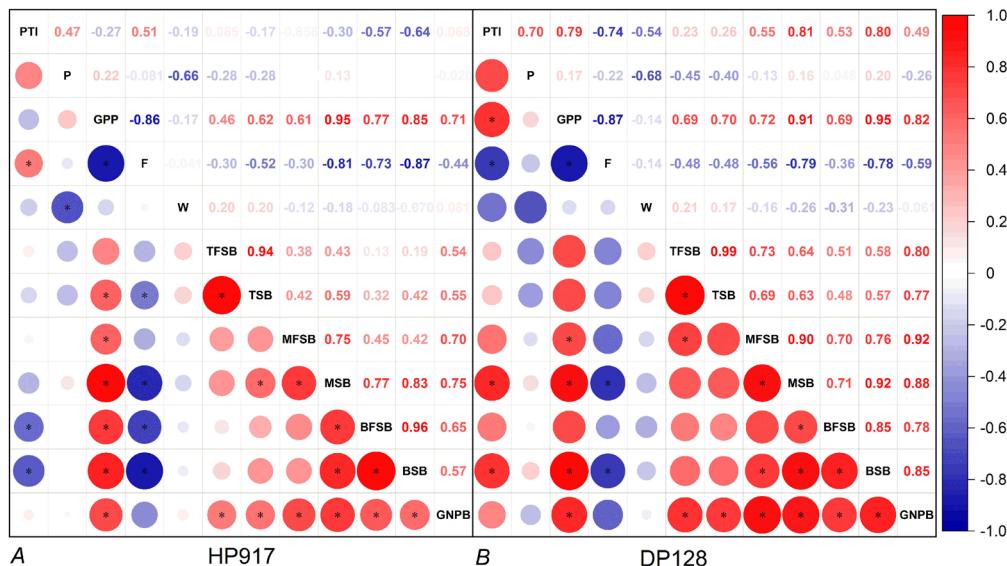


Fig. 4. Correlation analysis of yield components, panicle traits, and PTIs under the N rate and plant density interaction. P – panicle number per hectare, GPP – grain number per panicle, F – filled grain rate, W – 1,000-grain mass, TFSB – filled grain number of secondary branches of the top panicle, TSB – grain number of secondary branches of the top panicle, MFSB – filled grain number of secondary branches of the middle panicle, MSB – grain number of secondary branches of the middle panicle, BFSB – filled grain number of secondary branches of the bottom panicle, BSB – grain number of secondary branches of the bottom panicle, GNPB – number of primary branches.

18.96 $\mu\text{mol m}^{-2} \text{s}^{-1}$ (mean of four N rates), respectively. The maximum values of P_N appeared at the N fertilization rate of 200 kg ha^{-1} and a plant density of D_{10} for both HP917 and DP128.

The g_s values of HP917 and DP128 increased from 0.53 and 0.43 to 0.72 and 0.62 $\text{mol m}^{-2} \text{s}^{-1}$ (mean of two plant densities), respectively, as the N rate increased from 0 to 200 kg ha^{-1} , but decreased from 0.72 and 0.62 to 0.69 and 0.60 $\text{mol m}^{-2} \text{s}^{-1}$ (mean of two plant densities), respectively, as the N rate increased from 200 to 260 kg ha^{-1} . The g_s values of HP917 and DP128 increased from 0.62 and 0.53 to 0.67 and 0.57 $\text{mol m}^{-2} \text{s}^{-1}$ (mean of four N rates), respectively, as the plant density increased from D_{20} to D_{10} . The maximum values of g_s appeared at the N fertilization rate of 200 kg ha^{-1} and plant density of D_{10} treatment for both HP917 and DP128.

As the N application rate increased from 0 to 200 kg ha^{-1} , the E of HP917 and DP128 increased from 7.06 and 5.97 to 10.31 and 6.81 $\text{mmol m}^{-2} \text{s}^{-1}$ (mean of two plant densities), respectively. However, as the N rate increased from 200 to 260 kg ha^{-1} , the E of HP917 and DP128 decreased from 10.31 and 6.81 to 9.86 and 6.3 $\text{mmol m}^{-2} \text{s}^{-1}$ (mean of two plant densities), respectively. The E of HP917 and DP128 increased from 8.7 and 5.77 to 9.12 and 8.7 $\text{mmol m}^{-2} \text{s}^{-1}$ (mean of four N rates), respectively, as the plant density increased from D_{20} to D_{10} . The maximum values of E appeared for both HP917 and DP128 treated at the N fertilization rate of 200 kg ha^{-1} and plant density of D_{10} .

Increasing the N application rate from 0 to 200 kg ha^{-1} resulted in a decrease in the C_i values of HP917 and DP128 from 164 and 141.9 to 150.5 and 125.8 $\mu\text{mol mol}^{-1}$

$\mu\text{mol mol}^{-1}$ (mean of two plant densities), respectively, while increasing the N fertilizer rate from 200 to 260 kg ha^{-1} resulted in an increase in the C_i values of HP917 and DP128 from 150.5 and 125.8 $\mu\text{mol mol}^{-1}$ to 151.83 and 130 $\mu\text{mol mol}^{-1}$, respectively. The C_i values of HP917 and DP128 decreased from 156.9 and 132.6 to 153.2 and 131 $\mu\text{mol mol}^{-1}$ (mean of four N rates), respectively, as the plant density increased from D_{20} to D_{10} . The minimum values of C_i appeared at the N fertilization rate of 200 kg ha^{-1} and plant density of D_{10} for both HP917 and DP128.

As the N application rate increased from 0 to 200 kg ha^{-1} , the L_s values of HP917 and DP128 increased from 0.28 and 0.28 to 0.46 and 0.41 (mean of two plant densities), respectively. However, as the N rate increased from 200 to 260 kg ha^{-1} , the L_s values of HP917 and DP128 decreased from 0.46 and 0.41 to 0.41 and 0.38 (mean of two plant densities), respectively. The L_s values of HP917 and DP128 increased from 1.44 and 0.97 to 1.60 and 1.51 (mean of four N rates), respectively, as the plant density increased from D_{20} to D_{10} . The maximum L_s values of HP917 and DP128 appeared at the N fertilization rate of 200 kg ha^{-1} and plant density of D_{10} for both HP917 and DP128.

Relationship between the PTI and grain yield as well as P_N : Correlation analysis demonstrated that the PTI was significantly and positively correlated with grain yield and P_N in rice with different PTIs (Fig. 5).

Discussion

The grain number of secondary branches on the panicle axis was closely related to yield, which was shown to

Table 3. Effects of the N application rate and plant density on photosynthetic characteristics in rice with different PTIs. P_N represents the photosynthetic rate, E represents the transpiration rate, g_s represents the stomatal conductance, and C_i represents the intercellular CO_2 concentration. L_s represents the stomatal limitation value. $N_0 = 0 \text{ kg(N) ha}^{-1}$; $N_{140} = 140 \text{ kg(N) ha}^{-1}$; $N_{200} = 200 \text{ kg(N) ha}^{-1}$; and $N_{260} = 260 \text{ kg(N) ha}^{-1}$. D represents the plant density, where D_{20} represents 15 plants m^{-2} and D_{10} represents 30 plants m^{-2} . Data are represented as the mean \pm SD of triplicates. * and ** indicate significant differences at the 5% and 1% levels, respectively, and ns indicates 'nonsignificant'. Values of the eight treatments followed by *different lowercase letters* indicate a significant difference at the 5% level.

Variety	Treatments	P_N [$\mu\text{mol m}^{-2} \text{s}^{-1}$]	g_s [$\text{mol m}^{-2} \text{s}^{-1}$]	C_i [$\mu\text{mol mol}^{-1}$]	E [$\text{mmol m}^{-2} \text{s}^{-1}$]	L_s	Chl [$\text{mg g}^{-1}(\text{FM})$]
HP917	N_0	18.25 ± 0.52^d	0.51 ± 0.02^f	160.67 ± 4.04^b	6.88 ± 0.97^f	0.27 ± 0.04^e	1.13 ± 0.10^e
		19.70 ± 0.10^c	0.55 ± 0.03^e	167.33 ± 9.07^a	7.24 ± 0.18^e	0.29 ± 0.03^e	1.30 ± 0.05^d
	N_{140}	19.73 ± 0.41^c	0.63 ± 0.03^d	155.33 ± 1.57^c	8.20 ± 0.10^d	0.36 ± 0.02^c	1.75 ± 0.24^c
		21.10 ± 0.41^b	0.64 ± 0.02^d	149.33 ± 2.52^d	8.60 ± 0.10^c	0.39 ± 0.02^{bc}	1.83 ± 0.05^c
	N_{200}	21.57 ± 0.55^b	0.68 ± 0.01^c	157.33 ± 5.51^{bc}	9.97 ± 0.10^b	0.45 ± 0.01^{ab}	2.10 ± 0.03^{ab}
		22.77 ± 0.21^a	0.76 ± 0.02^a	146.67 ± 1.15^d	10.65 ± 0.53^a	0.46 ± 0.02^a	2.23 ± 0.07^a
	N_{260}	21.10 ± 0.46^b	0.67 ± 0.02^c	154.33 ± 3.79^e	9.73 ± 0.19^b	0.36 ± 0.03^{cd}	2.01 ± 0.09^b
		21.45 ± 0.82^b	0.72 ± 0.02^b	149.33 ± 0.57^d	9.98 ± 0.17^b	0.46 ± 0.01^a	2.05 ± 0.13^b
DP128	N_0	16.31 ± 0.22^f	0.41 ± 0.02^f	140.00 ± 2.65^a	5.43 ± 0.03^d	0.25 ± 0.06^d	1.43 ± 0.06^e
	D_{10}	16.36 ± 0.16^f	0.45 ± 0.03^e	143.67 ± 5.86^a	6.51 ± 0.04^b	0.31 ± 0.05^{cd}	1.70 ± 0.07^d
	N_{140}	17.10 ± 0.20^e	0.53 ± 0.03^d	124.33 ± 2.08^c	5.55 ± 0.02^d	0.31 ± 0.04^{cd}	1.71 ± 0.22^d
		18.12 ± 0.25^d	0.54 ± 0.02^d	134.67 ± 2.52^b	6.77 ± 0.02^b	0.33 ± 0.08^{bc}	1.81 ± 0.17^{cd}
	N_{200}	20.98 ± 0.40^b	0.59 ± 0.02^c	131.00 ± 2.64^b	6.10 ± 0.10^c	0.38 ± 0.03^{ab}	2.05 ± 0.04^{ab}
		21.81 ± 0.30^a	0.65 ± 0.01^a	120.67 ± 3.79^e	7.51 ± 0.04^a	0.44 ± 0.14^a	2.13 ± 0.07^a
	N_{260}	18.40 ± 0.50^d	0.57 ± 0.01^c	135.00 ± 4.00^b	6.00 ± 0.20^c	0.32 ± 0.01^{bcd}	1.93 ± 0.20^{bc}
		19.56 ± 0.60^c	0.62 ± 0.02^b	125.00 ± 1.00^e	6.67 ± 0.15^b	0.43 ± 0.08^a	1.79 ± 0.06^{cd}
ANOVA	N level (N)	**	**	**	**	**	**
	Density (D)	**	**	**	**	**	*
	Variety (V)	**	**	**	**	**	ns
	$V \times N$	**	ns	**	**	ns	**
	$V \times D$	ns	ns	ns	**	ns	ns
	$N \times D$	**	*	ns	ns	ns	*
	$V \times N \times D$	ns	ns	ns	ns	ns	ns

be mainly controlled by heredity (Sasahara *et al.* 1982). According to the 'Sasahara index', indica rice is a superior dominant type, japonica rice is a middle dominant type, and javanica rice is an inferior dominant type (Sasahara *et al.* 1982). Xu *et al.* (2005) found that a relatively high PTI was beneficial to improving yield and Xu *et al.* (2010) reported that PTI was significantly influenced by the environment in two recombinant inbred line (RIL) populations, with a significant decrease in PTI from Liaoning to Sichuan Province in China. However, few studies have paid attention to the effects of the N rate and plant density interaction on PTI. In the present study, the N rate and plant density interaction significantly affected the GNSB of the middle and bottom panicles in rice with different PTIs, which, in turn, influenced the PTI (Table 1, Figs. 2 and 3). Compared with N_0 , N_{140} affected the PTI by increasing the number of primary branches of different PTI rice plants in the D_{20} group, and other treatments affected the PTI by moving up the largest secondary branch GNPB on the node of the panicle axis of rice plants with different PTIs. With an increasing N rate, the PTI significantly increased at first and then plateaued or decreased at both plant densities. The PTI increased

with increasing plant density from D_{20} to D_{10} in rice with different PTIs at the four N rates. There are few published studies in this field. Thus, it is necessary to further study how the grain distribution of secondary branches on the panicle axis affects the grain yield.

Photosynthetic capacity is the basis of crop growth and development and is used to determine the composition of crop productivity (Richards 2000). The photosynthetic capacity of a single leaf is directly indicated by P_N (Jiang *et al.* 2004). E , C_i , g_s , L_s , and Chl content are vital indices used to describe the photosynthesis of plants and have close relationships with P_N (Liu *et al.* 2010). Previous studies have shown that photosynthetic capacity has a positive correlation with the N rate (Ripullone *et al.* 2003, Belane and Dakora 2011), but once it is above critical maxima, N can potentially deactivate the photosynthetic machinery (Cheng and Fuchigami 2000). Similarly, in our study, the leaf photosynthetic capacity increased with the increase of the N rate from 0 to 200 kg ha^{-1} . However, the leaf photosynthetic capacity decreased with the increase of the N rate from 200 to 260 kg ha^{-1} in rice with different PTIs at both plant densities. Two reasons could be responsible for the decrease of leaf photosynthetic capacity. The first

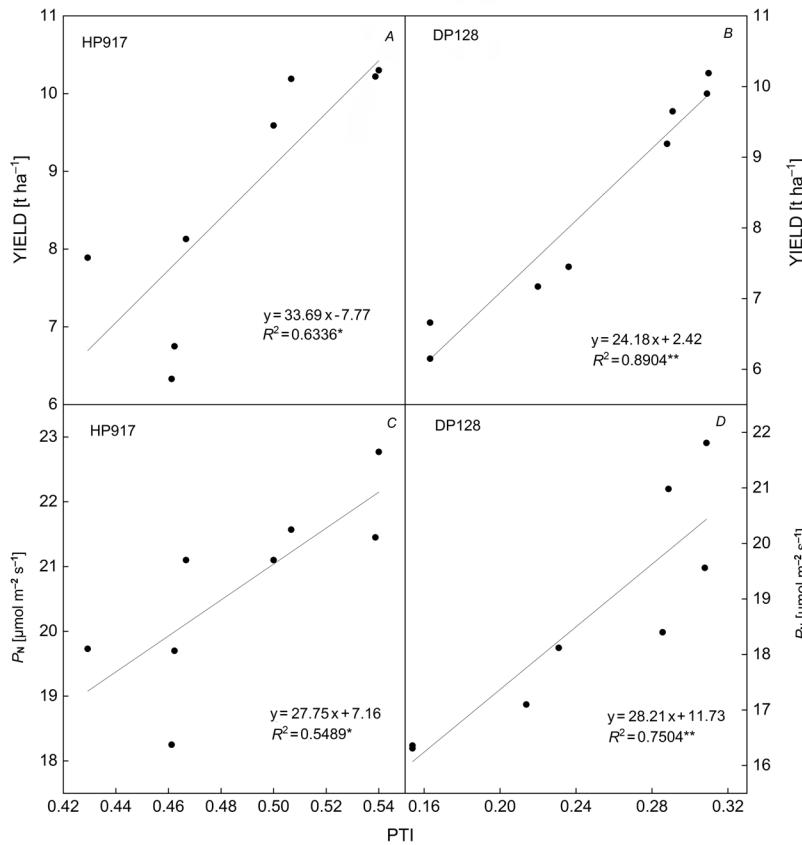


Fig. 5. Relationships between the panicle trait index (PTI) and grain yield and between the PTI and net photosynthetic rate (P_N) in rice with different PTIs. * and ** indicate significant correlations at the 5% and 1% levels, respectively.

is the weak light irradiance to the leaf due to shading and because of the excessive N rate promoting leaf development. The second is that rice grew excessively tall at the higher N rate and became susceptible to lodging. The increase of L_s and decrease of C_i indicates that the decline of stomatal conductance was responsible for the decrease of P_N , while the decrease of L_s and increase of C_i implies that the reduction of photosynthetic activity of mesophyll cells could account for the decrease of P_N (Farquhar and Sharkey 1982, Liu *et al.* 2010). In the present study, the N rate significantly affected the P_N , C_i , and L_s of leaf in rice with different PTIs, and N deficiency (0 kg ha^{-1}) increased C_i , and reduced P_N and L_s . These results imply that the N rate increased the P_N mainly by nonstomatal factors, such as the increase of photosynthetic activity of mesophyll cells. Plant density is an important factor coordinating the contradiction between crop individuals and populations (Wang *et al.* 2009). Low plant density resulted in declines of P_N and L_s and an increase of C_i (Fang *et al.* 2018). Similar to our study, increasing the plant density from D_{20} to D_{10} resulted in increased P_N and L_s , and declined C_i . Regression analysis suggested that the PTI was positively correlated with P_N .

Many studies have focused on the effects of N application rate or plant density on the grain yield and the yield components of rice (Sun *et al.* 2012, Huang *et al.* 2013, Ahmed *et al.* 2016, Hou *et al.* 2019). N application is vital for grain yield (Mahajan *et al.* 2011). The grain yield significantly increased when the application rate of

N fertilizer reached a certain level. However, a further increase in N could lead to a decrease in grain yield. Many studies have reported a decrease in grain yield under excessive N application (Fan *et al.* 2005, Jing *et al.* 2007, Zhang *et al.* 2009a,b). The present study confirmed the results of previous studies and showed that the N rate and plant density interaction had significant effects on the grain yield and the yield components of rice with different PTIs (Table 3). With increasing N application rate, the grain yield of rice with different PTIs significantly increased at first and then decreased at both plant densities. Compared with that of the D_{20} group, the grain yield of the D_{10} group was higher. Hou *et al.* (2019) showed that increasing the plant density appropriately is an effective way to improve the grain yield of rice. N_{200} combined with D_{10} treatment was optimal for yield formation among all the treatments. Regression analysis suggested that the PTI was significantly and positively correlated with grain yield.

The N application rate and plant density are two vital factors affecting yield components (Huang *et al.* 2011, Hou *et al.* 2019). In this study, the N rate and the plant density significantly affected the panicle number per hill, the spikelet number per panicle, and F, which has also been found in previous studies (Hou *et al.* 2019). With a constant N rate, the F of the D_{20} group was lower than that of the D_{10} group due to N deficiency.

Conclusions: The N rate and plant density interaction increased the number of primary branches and the GNSB of

the middle and bottom panicles, which, in turn, influenced the PTI of the rice plants. With an increase in the N rate, the PTI significantly increased at first and then plateaued or decreased. Increasing the plant density from D_{20} to D_{10} significantly increased the PTI. An appropriate N rate and plant density could improve the PTI from the bottom to middle panicle axes. N fertilization and plant density also had significant effects on leaf capacity and grain yield. The leaf capacity and grain yield first increased and then decreased with increasing N fertilization. Regression analysis suggested that the PTI was positively correlated with grain yield as well as P_N . These results further indicated that the improvement in the PTI from 0.15 to 0.52 is beneficial to increase the grain yield, which might contribute to the increased grain number of secondary branches of the middle and bottom panicle.

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